

CADTH Reference List

Sodium-Glucose Cotransporter-2 Inhibitors for Heart Failure

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Key Message

We found 9 systematic reviews and 23 randomized controlled trials describing the potential clinical benefits and harms of sodium-glucose cotransporter-2 (SGLT2) inhibitors in adults with heart failure.

Research Question

What literature describes the potential clinical benefits and harms of sodium-glucose cotransporter-2 inhibitors in adults with heart failure?

Methods

Literature Search Methods

A limited literature search was conducted by an information specialist on key resources including MEDLINE, the Cochrane Database of Systematic Reviews, the International HTA Database, the websites of Canadian and major international health technology agencies, as well as a focused internet search. The search strategy comprised both controlled vocabulary, such as the National Library of Medicine's MeSH (Medical Subject Headings), and keywords. The main search concepts were SGLT2 inhibitors and heart failure. CADTH-developed search filters were applied to limit retrieval to health technology assessments, systematic reviews, meta-analyses, or indirect treatment comparisons. The search was completed on August 25, 2022, and limited to English-language documents published since January 1, 2017. An additional search was run for SGLT2 inhibitors and heart failure, and CADTH-developed search filters were applied to limit retrieval to randomized controlled trials or controlled clinical trials. This search was limited to English-language documents published since January 1, 2022. If possible, retrieval was limited to the human population. Internet links were provided, if available.

Selection Criteria and Summary Methods

One reviewer screened the literature search results (titles and abstracts) and selected publications according to the inclusion criteria presented in [Table 1](#). Full texts of study publications were not reviewed. The Overall Summary of Findings section was based on information available in the abstracts of selected publications. If the abstract did not mention the mean age of the population, or if it was unclear whether adult or pediatric patients were included in the study, the citation was included in the results. Studies with unspecified population ages were identified by a footnote in the Summary of Findings tables.

Table 1: Selection Criteria

Criteria	Description
Population	Adult patients (≥ 18 years of age) with chronic heart failure including: <ul style="list-style-type: none"> • heart failure with reduced ejection fraction • heart failure with preserved ejection fraction
Intervention	SGLT2 inhibitors (i.e., empagliflozin, canagliflozin, dapagliflozin)
Comparator	Placebo Alternate SGLT2 inhibitor (i.e., empagliflozin, canagliflozin, dapagliflozin, ertugliflozin) ACE inhibitor (e.g., ramipril, lisinopril, perindopril, enalapril, captopril,trandolapril) Beta blocker (e.g., bisoprolol, carvedilol, metoprolol) ARB (e.g., valsartan, candesartan, losartan) ARNI (i.e., sacubitril-valsartan)
Type of information	Descriptions of potential clinical benefits (e.g., all-cause mortality, blood pressure, cardiovascular outcomes, quality of life) and harms (e.g., hypoglycemia, hypotension, diabetic ketoacidosis)
Study designs	Health technology assessments, systematic reviews, randomized controlled trials

ACE = angiotensin-converting enzyme; ARB = angiotensin II receptor blocker; ARNI = angiotensin receptor-neprilysin inhibitor; SGLT2 = sodium-glucose cotransporter-2.

Results

Nine systematic reviews¹⁻⁹ and 23 randomized controlled trials¹⁰⁻³² describing the potential clinical benefits and harms of SGLT2 inhibitors in adults with heart failure were identified. No health technology assessments were identified.

Additional references of potential interest that did not meet the inclusion criteria are provided in [Appendix 1](#).

Overall Summary of Findings

Nine systematic reviews with meta-analyses¹⁻⁹ describing the potential clinical benefits and harms of SGLT2 inhibitors in adults with heart failure were identified. Of these, 8 studied dapagliflozin,^{1-6,8,9} 7 studied empagliflozin,^{1-4,6-8} and 2 studied canagliflozin.^{3,8} The comparator was most often placebo^{1-3,5-7,9} or an alternative SGLT2 inhibitor.^{2,3,8} However, 1 study compared dapagliflozin and empagliflozin with sacubitril-valsartan.⁴ The included studies investigated the impact of SGLT2 inhibitors on the following outcomes: heart failure hospitalization,¹⁻⁹ cardiovascular mortality,^{1-6,8,9} the composite of cardiovascular mortality and heart failure hospitalization,^{1-5,7,8} all-cause mortality,^{1-3,6,8,9} body weight,⁶⁻⁹ blood pressure,^{6,8,9} Kansas City Cardiomyopathy Questionnaire scores,^{6,7} major adverse cardiac events,^{8,9} hemoglobin A1C,^{8,9} worsening heart failure,² the composite of worsening renal function and cardiovascular mortality,³ emergency department visits due to heart failure,⁶ hematocrit,⁶ and 6-minute walk

test score.⁷ Some studies reported on the harms of treatment, including hypoglycemia,^{2,5,9} renal function,^{3,8,9} volume depletion,^{5,9} urinary tract infection,⁵ serious adverse events,⁶ creatinine,⁶ and adverse events.⁹ A detailed summary of the included systematic reviews can be found in [Table 2](#).

Twenty-three randomized controlled trials¹⁰⁻³² describing the potential clinical benefits and harms of SGLT2 inhibitors in adults with heart failure were identified. These studies or sub-studies from 7 major trials compared empagliflozin with placebo (EMPEROR-Preserved,^{11,13,14,23-25} EMPEROR-Reduced,^{21,22,31,32} EMPIRE HF,²⁷ EMPATROPISM²⁹), dapagliflozin with placebo (DAPA-HF,^{10,12,15-20,26} DAPA-VO₂²⁸), and canagliflozin with placebo (CHIEF-HF³⁰). The included studies investigated the impact of SGLT2 inhibitors on the following outcomes: composite of cardiovascular mortality and heart failure hospitalizations,^{11,13,14,18,21-25,31} the composite of cardiovascular mortality and worsening heart failure,^{10-12,15-20,26} heart failure hospitalizations,^{13-15,20-25,31} cardiovascular mortality,^{11,15,17,19-21,25,26} all-cause mortality,^{15,17,19-21,26,32} Kansas City Cardiomyopathy Questionnaire scores,^{13-15,17,18,29,30} worsening heart failure,^{17,19,26,31} anemia or iron deficiency,^{22,26} renal outcomes,²² hyperuricemia,²⁶ stressed blood volume,²⁷ peak oxygen consumption,²⁸ 6-minute walk test score,²⁸ and Minnesota Living with Heart Failure Questionnaire score.²⁸ Harms studied were renal function,^{10,11,13,24,25,32} study drug discontinuation,^{16,18} serious adverse events,^{16,18} hyperkalemia,^{23,31} adverse events,¹³ atrial fibrillation,¹⁷ hypoglycemia,²⁴ and hypotension.³¹ A brief overview of the major trials identified, as described by the abstracts of the included studies and post hoc analyses, can be found in [Table 3](#).

Table 2: Summary of Included Systematic Reviews and Meta-Analyses

Study citation	Included studies	Population	Intervention	Comparator	Outcomes measured	Harms measured
Ahmad et al. (2022) ¹	4 RCTs	People with HF ^a N = 15,684	Dapagliflozin Empagliflozin Sotagliflozin	Placebo	HF hospitalization CV mortality CV mortality or HF hospitalization All-cause mortality	NA
Shi et al. (2022) ²	11 RCTs	People with HF ^a N = NR	Dapagliflozin Empagliflozin	Placebo Dapagliflozin Empagliflozin	HF hospitalization CV mortality CV mortality or HF hospitalization All-cause mortality Worsening HF	Hypoglycemia
Tager et al. (2022) ³	22 RCTs	People with HF ^a N = 18,265	Canagliflozin Dapagliflozin Empagliflozin Ertugliflozin Sotagliflozin	Placebo Canagliflozin Dapagliflozin Empagliflozin Ertugliflozin Sotagliflozin	HF hospitalization CV mortality CV mortality or HF hospitalization All-cause mortality Worsening renal function or CV mortality	Worsening renal function

Study citation	Included studies	Population	Intervention	Comparator	Outcomes measured	Harms measured
Aimo et al. (2021) ⁴	6 RCTs	People with HF _{rEF} ^a N = NR	Dapagliflozin Empagliflozin	Sacubitril-valsartan Vericiguat Standard of care	HF hospitalization CV mortality CV mortality or HF hospitalization	NA
Cai et al. (2021) ⁵	9 RCTs	People with chronic HF ^a N = NR	Dapagliflozin	Placebo	HF hospitalization CV mortality CV mortality or HF hospitalization	Hypoglycemia Volume depletion UTI
Chamberg-Michilot et al. (2021) ⁶	9 RCTs	People with HF ^a N = NR	Dapagliflozin Empagliflozin	Placebo	HF hospitalization CV mortality All-cause mortality Body weight BP KCCQ Emergency department visits due to HF Hematocrit Composite outcome (unspecified)	Serious adverse events Creatinine
Pan et al. (2021) ⁷	7 RCTs	People with HF ^a N = 5,150	Empagliflozin	Placebo	HF hospitalization CV mortality or HF hospitalization Body weight KCCQ 6MWT	NA
Teo et al. (2021) ⁸	10 RCTs	People with HF ^a N = 15,373	Canagliflozin Dapagliflozin Empagliflozin Ertugliflozin	Canagliflozin Dapagliflozin Empagliflozin Ertugliflozin	HF hospitalization CV mortality CV mortality or HF hospitalization All-cause mortality Body weight SPB MACE Hemoglobin A1C	Worsening renal function

Study citation	Included studies	Population	Intervention	Comparator	Outcomes measured	Harms measured
Zheng et al. (2021) ⁹	4 RCTs	People with HF ^a N = 6,738	Dapagliflozin	Placebo	HF hospitalization CV mortality All-cause mortality Body weight SPB MACE Hemoglobin A1C	Hypoglycemia Renal impairment Volume depletion Adverse events

6MWT = 6-Minute Walk Test; BP = blood pressure; CV = cardiovascular; HF = heart failure; HFrEF = heart failure with reduced ejection fraction; KCCQ = Kansas City Cardiomyopathy Questionnaire; MACE = major adverse cardiovascular events; NA = not applicable; NR = not reported; RCT = randomized controlled trial; SPB = systolic blood pressure; UTI = urinary tract infection.

^aAge of the population was unclear or unreported in the abstract.

Table 3: Summary of Included Randomized Controlled Trials

Trial name and relevant citations	Trial design	Main study population	Intervention	Comparator	Outcomes measured ^a	Harms measured
CHIEF-HF Spertus et al. (2022) ³⁰	Remote, patient-centred, double-blind, randomized trial	People with HF ^b N = 476	Canagliflozin	Placebo	KCCQ	NA
DAPA-HF ^c Post hoc: Adamson et al. (2022); ¹⁰ Berg et al. (2022); ¹² Butt et al. (2022); ¹⁵ Butt et al. (2022); ¹⁶ Butt et al. (2022); ¹⁷ Docherty et al. (2022); ¹⁸ Docherty et al. (2022); ¹⁹ Docherty et al. (2022); ²⁰ McDowell et al. (2022) ²⁶	Phase III, multi-national, double-blind, placebo-controlled, randomized trial	Adults with NYHA class II to IV symptoms and HFrEF ($\leq 40\%$) ^b N = 4,744	Dapagliflozin	Placebo	Worsening HF or CV mortality CV mortality or HF hospitalization HF hospitalization CV mortality All-cause mortality KCCQ Worsening HF Iron deficiency Hyperuricemia	eGFR decline Study drug discontinuation Serious adverse events New-onset AF
DAPA-VO ₂ Palau et al. (2022) ²⁸	Multi-centre, double-blind, randomized trial	Adults with HFrEF N = 90	Dapagliflozin	Placebo	Peak oxygen consumption 6MWT MLHFQ	NA

Trial name and relevant citations	Trial design	Main study population	Intervention	Comparator	Outcomes measured ^a	Harms measured
EMPATROPISM Post hoc: Requena-Ibanez et al. (2022) ²⁹	NR	People with HF _r EF ^b N = NR	Empagliflozin	Placebo	KCCQ	NA
EMPEROR-Preserved^c Post hoc: Anker et al. (2022); ¹¹ Bohm et al. (2022); ¹³ Butler et al. (2022); ¹⁴ Ferreira et al. (2022); ²³ Filippatos et al. (2022); ²⁴ Januzzi et al. (2022) ²⁵	Double-blind	Adults with class II to IV HFpEF (> 40%) N = 5,988	Empagliflozin	Placebo	CV mortality or HF hospitalization Worsening HF/CV mortality HF hospitalization CV mortality KCCQ	eGFR decline or renal death eGFR decline Adverse events Hypoglycemia Hyperkalemia
EMPEROR-Reduced^c Post hoc: Doehner et al. (2022) ²¹ ; Ferreira et al. (2022) ²² ; Verma et al. (2022) ³¹ ; Zannad et al. (2022) ³²	Multi-national, double-blind, parallel-group, randomized trial	Adults with NYHA class II to IV HF _r EF (≤ 40%) N = 3,730	Empagliflozin	Placebo	CV mortality or HF hospitalization HF hospitalization CV mortality All-cause mortality Kidney composite outcome (not specified) Anemia In- and outpatient HF events Hyperuricemia	Hypotension Hyperkalemia eGFR decline Kidney safety events
EMPIRE HF Post hoc: Omar et al. (2022) ²⁷	Investigator-initiated, double-blind, placebo-controlled, randomized trial	Adults with HF _r EF N = 70	Empagliflozin	Placebo	Stressed blood volume	NA

6MWT = 6-minute walk test; AF = atrial fibrillation; CV = cardiovascular; eGFR = estimated glomerular filtration rate; HF = heart failure; HFpEF = heart failure with preserved ejection fraction; HF_rEF = heart failure with reduced ejection fraction; KCCQ = Kansas City Cardiomyopathy Questionnaire; MLHFQ = Minnesota Living with Heart Failure Questionnaire; NA = not applicable; NR = not reported; NYHA = New York Heart Association.

^aPrimary outcomes are bolded.

^bAge of the population was unclear or unreported in the abstract.

^cOutcomes listed are not necessarily evaluated by each associated substudy.

References

Health Technology Assessments

No literature identified.

Systematic Reviews

- Ahmad Y, Madhavan MV, Stone GW, et al. Sodium-glucose cotransporter 2 inhibitors in patients with heart failure: a systematic review and meta-analysis of randomized trials. *Eur Heart J Qual Care Clin Outcomes*. 2022; 8(4): 383-390. [PubMed](#)
- Shi Z, Gao F, Liu W, He X. Comparative Efficacy of Dapagliflozin and Empagliflozin of a Fixed Dose in Heart Failure: A Network Meta-Analysis. *Front Cardiovasc Med*. 2022; 9: 869272. [PubMed](#)
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- Pan D, Xu L, Chen P, Jiang H, Shi D, Guo M. Empagliflozin in Patients With Heart Failure: A Systematic Review and Meta-Analysis of Randomized Controlled Trials. *Front Cardiovasc Med*. 2021; 8: 683281. [PubMed](#)
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- Zheng XD, Qu Q, Jiang XY, Wang ZY, Tang C, Sun JY. Effects of Dapagliflozin on Cardiovascular Events, Death, and Safety Outcomes in Patients with Heart Failure: A Meta-Analysis. *Am J Cardiovasc Drugs*. 2021; 21(3): 321-330. [PubMed](#)

Randomized Controlled Trials

- Adamson C, Docherty KF, Heerspink HJL, et al. Initial Decline (Dip) in Estimated Glomerular Filtration Rate After Initiation of Dapagliflozin in Patients With Heart Failure and Reduced Ejection Fraction: Insights From DAPA-HF. *Circulation*. 2022; 146(6): 438-449. [PubMed](#)
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- Berg DD, Docherty KF, Sattar N, et al. Serial Assessment of High-Sensitivity Cardiac Troponin and the Effect of Dapagliflozin in Patients With Heart Failure With Reduced Ejection Fraction: An Analysis of the DAPA-HF Trial. *Circulation*. 2022; 145(3): 158-169. [PubMed](#)
- Bohm M, Butler J, Filippatos G, et al. Empagliflozin Improves Outcomes in Patients With Heart Failure and Preserved Ejection Fraction Irrespective of Age. *J Am Coll Cardiol*. 2022; 80(1): 1-18. [PubMed](#)
- Butler J, Filippatos G, Jamal Siddiqi T, et al. Empagliflozin, Health Status, and Quality of Life in Patients With Heart Failure and Preserved Ejection Fraction: The EMPEROR-Preserved Trial. *Circulation*. 2022; 145(3): 184-193. [PubMed](#)
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- Butt JH, Dewan P, Merkely B, et al. Efficacy and Safety of Dapagliflozin According to Frailty in Heart Failure With Reduced Ejection Fraction: A Post Hoc Analysis of the DAPA-HF Trial. *Ann Intern Med*. 2022; 175(6): 820-830. [PubMed](#)
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Appendix 1: References of Potential Interest

Previous CADTH Reports

Dapagliflozin Reimbursement Review. Ottawa (ON): CADTH. 2021. <https://www.cadth.ca/dapagliflozin-1>. Accessed 30 Aug 2022.

Systematic Reviews

Unclear Methodology – Systematic Search of Literature Not Specified

Qiu M, Ding LL, Zhang M, Zhou HR. Safety of four SGLT2 inhibitors in three chronic diseases: A meta-analysis of large randomized trials of SGLT2 inhibitors. *Diab Vasc Dis Res*. 2021; 18(2): 14791641211011016. [PubMed](#)

Zannad F, Ferreira JP, Pocock SJ, et al. SGLT2 inhibitors in patients with heart failure with reduced ejection fraction: a meta-analysis of the EMPEROR-Reduced and DAPA-HF trials. *Lancet*. 2020; 396(10254): 819-829. [PubMed](#)

Mixed Population

Shi FH, Li H, Shen L, et al. Beneficial Effect of Sodium-Glucose Co-transporter 2 Inhibitors on Left Ventricular Function. *J Clin Endocrinol Metab*. 2022; 107(4): 1191-1203. [PubMed](#)

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Zhao LM, Huang JN, Qiu M, Ding LL, Zhan ZL, Ning J. Gliflozins for the prevention of stroke in diabetes and cardiorenal diseases: A meta-analysis of cardiovascular outcome trials. *Medicine (Baltimore)*. 2021; 100(39): e27362. [PubMed](#)

Mixed Intervention – Dapagliflozin-Empagliflozin and Sotagliflozin

Pandey AK, Dhingra NK, Hibino M, Gupta V, Verma S. Sodium-glucose cotransporter 2 inhibitors in heart failure with reduced or preserved ejection fraction: a meta-analysis. *ESC Heart Fail*. 2022; 9(2): 942-946. [PubMed](#)

Norre T, Grimm D, Simonsen U. Sacubitril/valsartan, sodium-glucose cotransporter 2 inhibitors and vericiguat for congestive heart failure therapy. *Basic Clin Pharmacol Toxicol*. 2022; 130(4): 425-438. [PubMed](#)

Unclear Intervention – Not Specific to Empagliflozin, Canagliflozin, or Dapagliflozin

Cao Y, Li P, Li Y, Han Y. Sodium-glucose cotransporter-2 inhibitors in heart failure: an updated meta-analysis. *ESC Heart Fail*. 2022; 9(3): 1942-1953. [PubMed](#)

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Luo L, Yang X, Tang K, et al. Efficacy of three novel drugs in the treatment of heart failure: A network meta-analysis. *Medicine (Baltimore)*. 2022; 101(29): e29415. [PubMed](#)

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